

IN THE UNITED STATES RECEIVING OFFICE (US/RO)
UNDER THE PATENT COOPERATION TREATY (PCT)

Applicants: Giralt Lledo et al.)	PATENT
)	
Serial No.: 10/591,355)	Attorney Docket
from International PCT/ES05/00116)	ERA-105.0
)	(9704/98056)
Int'l Filing Date: March 7, 2005)	
)	
For: PEPTIDES AS CELL PENETRATING)	
CARRIERS)	Group Art Unit:
)	Not Yet Assigned
Examiner: Not Yet Assigned)	

COMMUNICATION

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

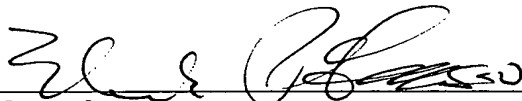
Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 to File Missing Parts of Application and correct the sequence listing mailed March 14, 2007, (copy attached), enclosed a computer readable disc with new corrected Sequence List, paper copy of the corrected sequence list and Sequence Statement for the above-identified application.

Please charge any additional fees concerning this matter to our Deposit Account No. 23-0920. A duplicate copy of this paper is enclosed.

Serial No. 10/591,355
from International PCT/ES05/00116

Respectfully submitted,

By 
Edward P. Gamson, Reg. No. 29,381

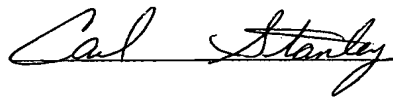
Enclosures

Notification of Missing Requirements
Computer Readable Disk and New Sequence List
Sequence Statement
Executed Power of Attorney
Missing Parts Fee

WELSH & KATZ, LTD.
120 South Riverside Plaza, 22nd Floor
Chicago, Illinois 60606
Phone (312) 655-1500
Fax No. (312) 655-1501

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this Communication, in duplicate, and its stated enclosures, and a copy of the Notification, are being deposited with the United States Postal Service as Express Mail, Express Mail Mailing Label No. EV555558203US addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 1, 2007.



FORM PTO-1390
(REV. 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ERA-105 (9704/98056)

INTERNATIONAL APPLICATION NO.
PCT/ES05/00116INTERNATIONAL FILING DATE
March 7, 2005PRIORITY DATE CLAIMED
March 8, 2004TITLE OF INVENTION
PEPTIDES AS CELL PENETRATING CARRIERSAPPLICANT(S) FOR DO/EO/US
Giralt Lledo et al.


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Copy of Notification of Missing Requirements, Computer Readable Disk and Newly corrected Sequence List, and
And Sequence Statement

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/591,355	INTERNATIONAL APPLICATION NO. PCT/ES05/00116	ATTORNEY'S DOCKET NUMBER ERA-105 (9704/98056)
		CALCULATIONS PTO USE ONLY
17. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO\$840.00 4 International preliminary examination fee paid to USPTO (37 CFR 1.482)\$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482), but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest priority date (37 CFR 1.492(e)).		\$130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	-20 =	x \$18.00
Independent claims	-3 =	x \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ 260.00
TOTAL OF ABOVE CALCULATIONS		= \$
Reduction by 1/2 for filing by small entity, if applicable.		\$65.00
SUBTOTAL =		\$65.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$0
TOTAL NATIONAL FEE =		\$65.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property		+
TOTAL FEES ENCLOSED =		\$65.00
Amount to be:		\$
refunded		\$
charged		\$
a. <input checked="" type="checkbox"/> A check in the amount of \$65.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.		
NOTE. Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met as required by 37 CFR 1.137(a), (b)) must be filed and granted to restore the application to pending status.		
SEND ALL CORRESPONDENCE TO: WELSH & KATZ, LTD. 120 South Riverside Plaza 22nd Floor Chicago, Illinois 60606 Telephone: 312/655-1500		
 SIGNATURE		
Edward P. Gausson NAME		
29,381 REGISTRATION NUMBER		



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/591,355	Ernest Giralt Lledo	ERA-105.0 (9704/98056)
INTERNATIONAL APPLICATION NO.		
PCT/ES05/00116		
I.A. FILING DATE		PRIORITY DATE
03/07/2005		03/08/2004

24628
 WELSH & KATZ, LTD
 120 S RIVERSIDE PLAZA
 22ND FLOOR
 CHICAGO, IL 60606

CONFIRMATION NO. 6436
 371 FORMALITIES LETTER



Date Mailed: 03/14/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/30/2006
- English Translation of the IA filed on 08/30/2006
- Copy of the International Search Report filed on 08/30/2006
- Preliminary Amendments filed on 08/30/2006
- Biochemical Sequence Diskette filed on 08/30/2006
- Oath or Declaration filed on 08/30/2006
- Biochemical Sequence Listing filed on 08/30/2006
- U.S. Basic National Fees filed on 08/30/2006
- Priority Documents filed on 08/30/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65 Surcharge.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov**

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/591,355	PCT/ES05/00116	ERA-105.0 (9704/98056)